

The Metastatic Breast Cancer Project

RESEARCH CONSENT FORM – KEY POINTS

“The Metastatic Breast Cancer Project” is a patient-driven movement that empowers metastatic breast cancer patients to directly transform research and treatment of disease by sharing part of their stored tumor tissue and copies of their medical records. Because we are enrolling patients across the country regardless of where they are being treated, this study will allow many more patients to contribute to research than has previously been possible.

1. What is the purpose of this study?

We want to understand metastatic breast cancer better so that we can develop more effective therapies. By partnering directly with patients, we are able to study many more aspects of cancer than would otherwise be possible.

2. What will I have to do if I agree to participate in this study?

Participation requires little effort. You will answer some questions about your cancer and medical care and send a saliva sample to us in a pre-stamped package that we will provide. We will take care of obtaining copies of your medical records as well as small amounts of your stored tumor tissues from the hospitals or centers where you receive your medical care.

3. Do I have to participate in this study?

No. Taking part in this study is voluntary. Even if you decide to participate, you can always change your mind and leave the study.

4. Will I benefit from participating?

While taking part in this study may not improve your own health, the information we collect will aid in our research efforts to provide better cancer treatment and prevention options to future patients. We will provide updates about key research discoveries made possible by your participation on our website.

5. What are the risks of taking part in this research?

There may be a risk that your information (which includes your genetic information and information from your medical records) could be seen by unauthorized individuals. However, we have procedures and security measures in place designed to minimize this risk and protect the confidentiality of your information

6. Will it cost me anything to participate in this study?

No.

7. Who will use my samples and see my information?

Your samples and health information will be available to researchers at the Broad Institute of MIT and Harvard, a not-for-profit biomedical research institute. After removing your name and other readily identifiable information, we will share results obtained from your participation with the greater research community as well as central data banks at the National Institutes of Health.

8. Can I stop taking part in this research study?

Yes, you can withdraw from this research study at any time, although any of your information that has already been entered into our system cannot be withdrawn. Your information would be removed from future studies.

9. What if I have questions?

If you have any questions, please send an email to info@mbcproject.org or call 617-800-1622 and ask to speak with one of the project managers about this study.

RESEARCH CONSENT FORM – KEY POINTS

A. Introduction

You are being invited to participate in a research study that will collect and analyze samples and health information of patients with metastatic breast cancer, also called Stage IV or advanced breast cancer. This study will help doctors and researchers better understand why metastatic breast cancer occurs and develop ways to better treat and prevent it.

Cancers occur when the molecules that control normal cell growth (genes and proteins) are altered. Changes in the genes of tumor cells and normal tissues are called “alterations.” Several alterations that occur in certain types of cancers have already been identified and have led to the development of new drugs that specifically target those alterations. However, the vast majority of tumors from patients have not been studied, which means there is a tremendous amount of information still left to be discovered. Our goal is to discover more alterations, and to better understand those that have been previously described. We think this could lead to the development of additional therapies and cures.

Genes are composed of DNA “letters,” which contain the instructions that tell the cells in our bodies how to grow and work. We would like to use your DNA to look for alterations in cancer cell genes using a technology called “sequencing.”

Gene sequencing is a way of reading the DNA to identify alterations in genes that may contribute to the behavior of cells. Some changes in genes occur only in cancer cells. Others occur in normal cells as well, in the genes that may have been passed from parent to child. This research study will examine both kinds of genes.

You are being asked to participate in the study because you have metastatic breast cancer. Other than providing a sample of saliva, participating in the study involves no additional tests or procedures.

This form explains why this research study is being done, what is involved in participating, the possible risks and benefits of the study, alternatives to participation, and your rights as a participant. The decision to participate is yours. We encourage you to ask questions about the study now or in the future.

B. Why is this research study being done?

We want to understand cancer better so that we can develop more effective therapies. By partnering directly with patients, we will be able to study many more aspects of cancer than has previously been possible. In addition, because we are enrolling patients across the country regardless of where they are being treated, this study will allow many more patients to directly contribute to research than might otherwise be feasible.

C. What other options are there?

Taking part in this research study is voluntary – you may choose not to participate. Your decision not to participate will not affect your medical care in any way or result in any penalty or loss of benefits.

D. What is involved in the research study?

Participation will require that you answer some questions about your cancer and medical care, send a saliva sample to us in a pre-stamped package that we will provide. With your consent, we will obtain a portion of your tumor tissues from hospitals or centers where you received your medical care.

We will analyze the genes in your cancer cells (obtained from biopsies or surgical specimens stored in a hospital pathology department) and your normal samples (obtained from the saliva sample). No additional procedures will be required. The results of this analysis will be used to try to develop better ways to treat and prevent cancers.

We will link the results of the gene tests on your cancer and saliva with medical information that has been generated during the course of your treatment. We are asking your permission to obtain a copy of your medical record from places where you have received care for your cancer.

In some cases, a research doctor may contact you to find out if you would be interested in participating in a different or future research study based on information that may have been found in your tissue or saliva samples.

To allow sharing of information with other researchers, the National Institutes of Health (NIH) and other organizations have developed central data (information) banks that analyze information and collect the results of certain types of genetic studies. These central banks will store your genetic and medical information and provide the information to qualified researchers to do more studies. We will also store your genetic and medical information at the Broad Institute of MIT and Harvard and share your information with other qualified researchers. Therefore, we are asking your permission to share your results with these special banks and other researchers, and have your information used for future research studies, including studies that have not yet been designed, studies involving diseases other than cancer, and/or studies that may be for commercial purposes (such as the development or approval of new drugs). Your information will be sent to central banks and other researchers only with a code number attached. Your name, social security number, and other information that could readily identify you will not be shared with central banks or other researchers. We will never sell your readily identifiable information to anyone under any circumstances.

E. How long will I be in this research study?

You will be asked to give a sample of saliva at the beginning of the study. We will keep your tissue and saliva samples and medical records indefinitely until this study is finished unless you inform us that you no longer wish to participate. You may do this at any time. More information about how to stop being in the study is below in paragraph I.

Once the study is finished, any left over tissue samples that we have will be returned to the pathology department at the hospital or other place where you received treatment and any left over saliva samples and your medical records will be destroyed.

F. What kind of information could be found in this study and will I be able to see it?

The gene tests in this study are being done to add to our knowledge of how genes and other factors affect cancer. This information will be kept confidential and while you will not receive information about your personal results obtained from studying your tissue and saliva, we will provide general results and major discoveries to all participants. We will do this by regularly updating the website that you used to enroll in this study. Furthermore, we will publish important discoveries found through these studies in the scientific literature so

that the entire research community can work together to better understand cancer. Your individual data will not be published in a way in which you could be readily identified. Abstracts, which are plain language summaries of the published reports, will be available to you and the general public.

G. What are the risks or discomforts of the research study?

There is a small risk that by participating in this study, the gene test results, including the identification of genetic changes in you or your cancer, could be seen by unauthorized individuals. We have tried to minimize this risk by carefully limiting access to the computers that would house your information to the staff of this research study.

There is a small but real risk that if your samples are used for this research study, they might not be available for clinical care in the future. However, we have attempted to minimize this risk in the following way: the pathologists in the department of pathology where your specimens are kept will not release your specimen unless they believe that the material remaining after the research test is performed is sufficient for any future clinical needs.

H. What are the benefits of the research study?

Taking part in this research study may not directly benefit you. By joining this study, you will help us and other researchers understand how to use gene tests to improve the care of patients with cancer in the future. We will provide study participants updates on our project website about key research discoveries made possible by your participation

I. Can I stop being in the research study and what are my rights?

You can stop being in the research study at any time. We will not be able to withdraw all the information that already has been used for research. If you tell us that you want to stop being in the study, we will return any remaining tumor samples from where we obtained them, and destroy any saliva samples or DNA samples we have. We will not perform any additional tests on the samples. Additionally, we will not collect any additional medical records and we will destroy the medical records we already have.

However, we will keep the results from the tests we did before you stopped being in the study. We will also keep the information we learned from reviewing your medical records before you stopped being in the study. We will not be able to take back the information that already has been used or shared with other researchers, central data banks, or that has been used to carry out related activities such as oversight, or that is needed to ensure quality of the study.

To withdraw your permission, you must do so in writing by contacting the researcher listed below in the section: "Whom do I contact if I have questions about the research study?" If you choose to not participate, or if you are not eligible to participate, or if you withdraw from this research study, this will not affect your present or future care and will not cause any penalty or loss of benefits to which you are otherwise entitled.

J. Will I be paid to take part in this research study?

There is no financial compensation for participation in this study.

K. What are the costs?

There are no costs to you to participate in this study.

L. What happens if I am injured or sick because I took part in this research study?

There is virtually no chance that you will become injured or sick by taking part in this study. Please contact us if you think you have been injured as a result of participating in this study.

M. What about confidentiality?

We will take rigorous measures to protect the confidentiality and security of all your information, but we are unable to guarantee complete confidentiality. When we receive your tissues and saliva samples, your name, social security number, and other information

that could be used to readily identify you will be removed and replaced by a code. If we send your samples to our collaborators for gene testing, the samples will be identified using only this code. The medical records that we receive will be reviewed by our research team to confirm that you are eligible for the study and to obtain information about your medical condition and treatment.

We will store all of your identifiable information related to the study (including your medical records) in locked file cabinets and in password-protected computer files at the Broad Institute and we will limit access to such files. We may share your identifiable information or coded information, as necessary, with regulatory or oversight authorities (such as the Office for Human Research Protections), ethics committees reviewing the conduct of the study, or as otherwise required by law.

When we send the results of the gene tests and your medical information to central data banks or other researchers, they will not contain your name, social security number, or other information that could be used to readily identify you.

The results of this research study or future research studies using the information from this study may be published in research papers or included in presentations that will become part of the scientific literature. You will not be identified in publications or presentations.

N. Whom do I contact if I have questions about the research study?

If you have questions about the study, please contact the research doctor or study staff listed below by emailing info@mbcproject.org or calling 617-800-1622:

- Nikhil Wagle, MD (Principle Investigator of the Study)
- Corrie Painter, PhD

For questions about your rights as a patient, please contact a representative of the Office for Human Research Studies at (617) 632-3029. This can include questions about your participation in the study, concerns about the study, a research related injury, or if you feel/felt under pressure to enroll in this research study or to continue to participate in this research study. Please keep a copy of this document in case you want to read it again.

O. AUTHORIZATION TO USE YOUR HEALTH INFORMATION FOR RESEARCH

PURPOSES

Because information about you and your health is personal and private, it generally cannot be used in this research study without your written authorization. Federal law requires that your health care providers and healthcare institutions (hospitals, clinics, doctor's offices) protect the privacy of information that identifies you and relates to your past, present, and future physical and mental health conditions.

If you sign this form, it will provide your health care providers and healthcare institutions the authorization to disclose your protected health information to the Broad Institute for use in this research study. The form is intended to inform you about how your health information will be used or disclosed in the study. Your information will only be used in accordance with this authorization form and the informed consent form and as required or allowed by law. Please read it carefully before signing it.

1. What personal information about me will be used or shared with others during this research?

- Your medical records
- Your tissue samples relevant to this research study and related records
- New health information created from study-related tests and/or questionnaires

2. Why will protected information about me be used or shared with others?

The main reasons include the following:

- To conduct and oversee the research described earlier in this form;
- To ensure the research meets legal, institutional, and accreditation requirements;
- To conduct public health activities (including reporting of adverse events or situations where you or others may be at risk of harm)

3. Who will use or share protected health information about me?

The Broad Institute and its researchers and affiliated research staff will use and/or share your personal health information in connection with this research study.

4. With whom outside of the Broad Institute may my personal health information be shared?

While all reasonable efforts will be made to protect the confidentiality of your protected health information, it may also be shared with the following entities:

- Federal and state agencies (for example, the Department of Health and Human Services, the Food and Drug Administration, the National Institutes of Health, and/or the Office for Human Research Protections), or other domestic or foreign government bodies if required by law and/or necessary for oversight purposes. A qualified representative of the FDA and the National Cancer Institute may review your medical records.
- Outside individuals or entities that have a need to access this information to perform functions relating to the conduct of this research such as data storage companies.

Some who may receive your personal health information may not have to satisfy the privacy rules and requirements. They, in fact, may share your information with others without your permission.

5. For how long will protected health information about me be used or shared with others?

There is no scheduled date at which your protected health information that is being used or shared for this research will be destroyed, because research is an ongoing process.

6. Statement of privacy rights:

- You have the right to withdraw your permission for the doctors and researchers to use or share your protected health information. We will not be able to withdraw all the information that already has been used or shared with others to carry out related activities such as oversight, or that is needed to ensure quality of the study. To withdraw your permission, you must do so in writing by contacting the researcher listed above in the section: “Whom do I contact if I have questions about the research study?”
- You have the right to request access to your personal health information that is used or shared during this research and that is related to your treatment or payment for your treatment. To request this information, please contact your doctor who will request this information from the study directors.

P. Participation Information

If you decide to sign this consent form, we will ask you for information about contacting your physicians and the hospitals that you were treated at for your cancer. We will not disclose details about the results of your participation in this study with any of the individuals that we contact, but rather ask them to provide us with your medical history and your tissue samples.

Q. Documentation of Consent

This is what I agree to:

- You can request my stored tissue samples from my physicians and the hospitals and other places where I received my care, perform (or collaborate with others to perform) gene tests on the samples, and store the samples until this research study is complete.
- You can perform (or collaborate with others to perform) gene tests on the saliva sample that I will send you and store the sample until this research study is complete.
- You can request my medical records from my physicians and the hospitals and other places where I received and/or continue to receive my treatment and link results of the gene tests you perform on my tissue and saliva samples with my medical information from my medical records.
- You can use the results of the gene tests and my medical information for future research studies, including studies that have not yet been designed, studies for diseases other than cancer, and/or studies that may be for commercial purposes.
- You can share the results of the gene tests and my medical information with central data banks (e.g., the NIH) and with other qualified researchers in a manner that does not include my name, social security number, or any other information that could be used to readily identify me, to be used by other qualified researchers to perform future research studies, including studies that have not yet been designed, studies for diseases other than cancer, and studies that may be for commercial purposes.

My full name below indicates:

- I have had enough time to read the consent and think about agreeing to participate in

this study;

- I have had all of my questions answered to my satisfaction;
- I am willing to participate in this research study;
- I have been told that my participation is voluntary and if I decide not to participate it will have no impact on my medical care;
- I have been told that if I decide to participate now, I can decide to stop being in the study at any time.
- I acknowledge that a copy of the signed consent form will be sent to my email address.

Full Name

Date

Email

Date of Birth

Thank you very much for your consent to participate in The Metastatic Breast Cancer Project. To proceed with our study, we have asked you for information about:

1. Your contact information, including your current mailing address, so that we can send you a saliva kit
2. The name and contact information for the physician(s) who has/have cared for you throughout your experiences with breast cancer, so we can obtain copies of your medical records
3. The names of the hospitals / institutions where you've had biopsies and surgeries, so we can obtain some of your stored tumor samples

Printed below is the information you have provided to us:

YOUR CONTACT INFORMATION:

First Name: _____

Last Name: _____

Current Mailing Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

YOUR PHYSICIANS' NAMES:

Physician Name: _____

Institution (if any): _____

Address: _____

City: _____ State: _____ Zip: _____



415 Main Street
Cambridge, MA 02142
T 617-714-7000
www.broadinstitute.org

Phone: _____

YOUR HOSPITAL / INSTITUTION NAMES:

Your initial biopsy for breast cancer was performed:

Institution: _____

City: _____ State: _____

Any other biopsies or surgeries for your breast cancer (i.e. biopsy, lumpectomy, partial mastectomy, mastectomy) were performed:

Institution: _____

City: _____ State: _____

By completing this information, you are agreeing to allow us to contact these physician(s) and hospital(s) / institution(s) to obtain your records.

- I have already read and signed the informed consent document for this study, which describes the use of my personal health information (Section O), and hereby grant permission to Nikhil Wagle, MD, Dana-Farber Cancer Institute, 450 Brookline Ave, Boston, MA, 02215, or a member of the study team to examine copies of my medical records pertaining to my breast cancer diagnosis and treatment, and to obtain tumor tissue for research studies. I acknowledge that a copy of this completed form will be sent to my email address.

Full Name

Date



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